

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Abxandris, Vinoria 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/612.783

07/02/2003

Thomas J. La Rosa

38-21(53373)A

Pamela J. Sisson Patent Department, E2NA Monsanto Company 800 N. Lindbergh Blvd. St. Louis, MO 63167 CONFIRMATION NO. 2839
FORMALITIES LETTER

\*OC00000013305598\*

Date Mailed: 07/22/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact

For Rules Interpretation, call (703) 308-4216

■ To Purchase Patentin Software, call (703) 306-2600

For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The following item(s) appear to have been omitted from the application:

mail at JUL 27 2004

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re applications of:

Thomas J. La Rosa et al.

Appln. No.: 10/612,783

Filed: July 2, 2003

For: Nucleic Acid Molecules and Other Molecules Associated with Plants And Uses Thereof For Plant

Improvement

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Art Unit:

1638

Examiner:

To be assigned

1

Atty. Docket: 38-21(53373)A

## **Certificate of Mailing**

I hereby certify that this Response to Notice to File Missing Parts of Non-Provisional Application-Under 37 CFR 1.53 (b) for the above application, is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

> Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

(Printed name of person signing this certificate)

Pacie d. Williams

# UNITED STATES PATENT AND TRADEMARK OFFICE

In re applications of:

Thomas J. La Rosa et al.

Appln. No.: 10/612,783

Filed: July 02, 2003

For:

Nucleic Acid Molecules and Other Molecules

Associated with Plants And Uses Thereof For

Plant Improvement

Art Unit: To be assigned

Examiner: To be assigned

Atty. Docket: 38-21(53373)A

## Response to Notice to File Missing Parts of Nonprovisional Application

Box Missing Parts Commissioner for Patents Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted dated July 02, 2003, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

- 1. Copy of Notice to File Missing Parts of Nonprovisional Application;
- 2. Combined declaration and power of attorney executed by inventors, David K. Kovalic, Yihua Zhou, and Yongwei Cao (3 pages);
- 3. Combined declaration and power of attorney executed by Thomas J. La Rosa (3 pages);
- 4. (1)CRF-computer readable form of sequence listing, (2) CD-Rs Copy 1, Copy 2 of sequence listing;
- 5. Amendment directing its entry into the application;
- 6. a return-receipt prepaid postcard;
- 7. Statement Regarding Sequence Submission; and
- 8. a change of correspondence for the above application.

It is respectfully requested that the attached prepaid postcard be stamped with the date of filing of these documents and be returned to us as soon as possible.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 13-4125. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby

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petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 13-4125. A duplicate copy of this Response is enclosed.

Respectfully submitted,

Joschisha M. Maris Neg. No. 48,371

Ramela J. Sisson (Reg. No.: 53,600)

Date: 08/31/04

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